# Surgical Procedures Performed in Management of Malignant Pleural Effusions

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# **Abstract**

Malignant pleural effusion is one of the most common clinical problems in neoplastic diseases. There is no optimum treatment available. Pleurodesis is the most frequent method used for the treatment of malignant pleural effusion. However, in cases where pleurodesis failed more invasive procedures such as permanent catheter application, pleurectomy, decortication and extrapleural pneumonectomy can be used. In this paper, surgical treatment of malignant pleural effusion, were evaluated by reviewing the most recent articles.

Keywords: Indwelling catheters, malignant pleural effusion, pleurectomy, pleurodesis, talc, videothoracoscopy

# INTRODUCTION

Malignant pleural effusions constitute 22% of all pleural effusions, and more than 150,000 new cases are diagnosed each year in the United States (1). Malignant pleural effusion occurs with the primary cancer of any organ metastasis to pleura and indicates a poor prognosis. In approximately half of the cancer patients, metastatic malignant pleural effusion develops throughout the course of the disease (2). Depending on the type of neoplasm, the approximate survival time after the diagnosis of malignant pleural effusion is 4-6 months (3). The main problems observed among patients with effusion are dyspnea, chest pain (primarily depending on the parietal pleura and chest wall involvement), and decrease in the quality of life depending on the developing symptoms such as cough.

It is known that symptomatic malignant pleural effusion develops through the course of the disease in 50% of patients with breast cancer, 25% of patients with lung cancer, and more than 90% of patients with mesothelioma (3, 4). The treatment of malignant pleural effusions is determined according to the patient's symptoms and performance status, primary tumor and tumor response to systemic therapy, lung reexpansion after the drainage of pleural fluid, and estimated survival. The objective in palliative treatment is the permanent elimination of pleural fluid. The cases that have limited effusion recurrence or in which full remission is not observed among patients with pressure symptoms because of pleural effusion fluid are defined as a partial success. It should be noted that the treatment of malignant pleural effusion is the local control of the disease, and it has no effect on the underlying systemic disease (5).

#### **Therapeutic Thoracentesis**

Although therapeutic thoracentesis is an effective way in the elimination of symptoms, most malignant pleural effusions are repeated within a month. If the patient's Karnofsky Performance Scale score is good (>30), thoracentesis should not be the only preferred treatment method. Furthermore, repeated thoracentesis carries the risk of pneumothorax and empyema (6).



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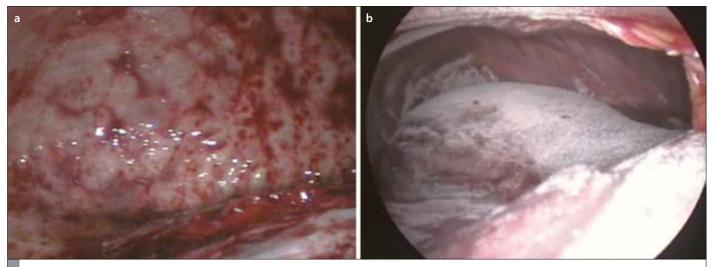


Figure 1. a, b. Parietal pleural involvement of lung cancer (a); appearance after talc poudrage during videothoracoscopy (b)

#### **Pleurodesis**

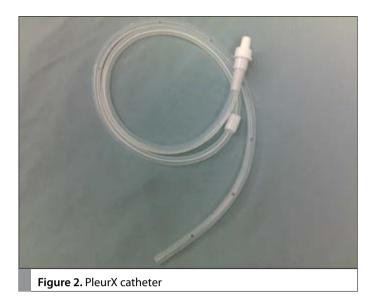
Malignant pleural effusion can be effectively treated with the complete drainage of fluid and administration of intrapleural sclerosing agent. For a successful pleurodesis, the lung should be reexpanded, and it should be in contact with the parietal pleura. A pleurodesis sclerosing agent is administered into the pleural space with an intercostal catheter/tube or by the thoracoscopic talc poudrage method (7-9). Commonly used sclerosing agents are talc, tetracyclines, and bleomycin (1, 3, 9). The success rate is 81-100% for talc, 65-76% for tetracycline, and 61% for bleomycin (1, 3, 9, 10). Side effects such as fever and pleuritic chest pain are more commonly observed with talc application. Additionally, when talc particle size smaller than 15 µm is applied, talc application-linked acute respiratory distress syndrome (ARDS) cases have been reported in the United Kingdom and United States (11, 12). Maskell et al. (13) showed that in patients where talc particle size smaller than 15 µm is used, in the 48th hour, the alveolar-arterial oxygen gradient is higher than that in patients administered talc particle size greater than 15 µm. In another multicenter study in which 508 patients were evaluated, when talc particle size greater than 15 µm is used, death, ARDS or pneumonia is not observed in any patient (14).

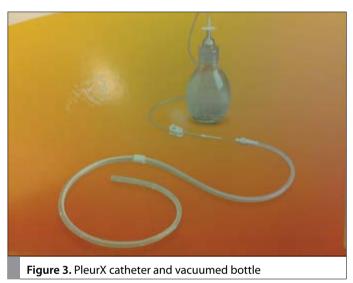
According to the 36 randomized controlled studies in the Cochrane database, the results in 1499 patients who underwent pleurodesis support the use of an intrapleural sclerosing agent to prevent recurrence if the patients' performance status is good, the use of a talc agent, and the use of thoracoscopic talc poudrage application technique (2). In a systematic compilation, thoracoscopic talc poudrage and tube thoracostomy talc application were compared, and recurrence after the thoracoscopic method was shown to be lower (15).

Stefani et al. (16), who randomized 109 patients with thoracoscopic pleurodesis and tube thoracostomy application as pleurodesis groups, showed that the thoracoscopic approach has a better early (87.5% vs. 73%) and lifetime (81% vs. 55%) success. In another study, it is stated that especially in effusions with pH<7.3, the thoracoscopic method is superior to tube thoracostomy and that the reason for this superiority is the opening of intrapleural adhesions during thoracoscopy caused by low pH and the provision of complete drainage (17).

A survey in which 859 physicians participated revealed that the majority of the participants prefer talc as the sclerosing agent and thoracoscopic pleurodesis as the method (18). Longer survival is found in patients with a successful pleurodesis (19). In in vitro studies, this longer survival is explained with the ability of talc to cause apoptosis of lung cancer and mesothelioma cells and with endostatin induction pleural spaces, which is an angiogenic and biologically active environment, conversion to an angiostatic medium (20-22). In the most comprehensive randomized controlled study conducted by Dresler et al. (23), 482 patients were administered 4-5 g of talc with tube thoracostomy or thoracoscopic insufflation in order to investigate whether thoracoscopic pleurodesis is superior to pleurodesis with tube thoracostomy. In this study, after the 30-day follow-up, in patients with lung or breast cancer who underwent thoracoscopy, less recurrence was observed compared with those who underwent tube thoracostomy (18%-33%). In a retrospective study conducted in Süreyyapaşa Chest Diseases and Thoracic Surgery Hospital between January 2008 and January 2011, a total of 100 patients who underwent video thoracoscopy (n=61) and tube thoracostomy (n=39) with talc were compared, and despite the lack of a significant difference, it was observed that the recurrence is lower in video thoracoscopy (23.9%, 10.2%). In this study, the overall survival was calculated as 2.7 months in both groups (24).

In video thoracoscopy, it is preferred to use a double lumen endotracheal tube under general anesthesia in order to ensure one-lung ventilation (5, 25). However, in some patients with a high risk for general anesthesia, surgery may be performed using systemic analgesic, sedative drugs and a combination of local anesthetic agents (5). For video thoracoscopy, the patient is placed in the lateral decubitus position. After proper cleaning and preparation, a 2 cm thoracoscopy incision is made in the sixth or seventh intercostal space usually in the mid-axillary line level. Apart from this port, one or two more port incisions may be made in the appropriate intercostal spaces. After the drainage of pleural fluid, a thoracoscope is placed, and after the pleural cavity is examined, a pleural biopsy is taken. During the procedure, adhesions are opened with the help of thoracoscopic instruments. In case of trapped lung detection, lung reexpansion should be provided through limited decortication. When it is seen that the





lung fills the rib cage, with the help of an atomizer, 4-5 g of talc was applied into the rib cage via insufflation by covering all the visceral pleura (Figure 1a, b). After talc application, one chest tube is placed, and the operation is concluded.

When thoracoscopic pleurodesis and pleurodesis via tube thoracotomy are compared, it is observed that respiratory complications occur more frequently with thoracoscopic pleurodesis (14% vs. 6%). Other common complications of thoracoscopy are dyspnea and pain. Other rare complications are post-operative fever, continuous air leak, hemorrhage, subcutaneous emphysema, reexpansion pulmonary edema, deep vein thrombosis, and port site metastasis (2, 3, 5).

## Fluid Drainage with Indwelling Pleural Catheter

Pleural fluid drainage with indwelling pleural catheter is an alternative method to thoracoscopic pleurodesis. For patients whose lung is not expanded despite effusion drainage, for advanced cancer patients with short life expectancy, for unsuccessful pleurodesis in symptomatic loculated effusions, or depending on the patient's preference, the decision to use an indwelling catheter may be made

(10, 26). An indwelling catheter placed via tunnel technique in symptomatic patients provides symptomatic relief by allowing the drainage of pleural fluid. An advantage of the process is the patient being discharged home with the indwelling catheter. The maintenance and drainage of pleural fluid catheter with 2-3 day intervals can be conducted by visiting nurses or by the patient/patient relatives after proper training. In a series of 250 patients in a single center, within 2-6 weeks after an indwelling catheter placement, 40% of cases reported that catheter had been removed because of spontaneous pleurodesis development (26). The complications related to the placement of an indwelling catheter are catheter malfunction (9.1%), pneumothorax requiring chest tube drainage (5%), pain and catheter occlusion (3.7%), empyema (2.8%), cellulitis (3.4%), and tumor metastasis along the catheter track (1%) (27). In a study where cost comparison between the tube thoracostomy pleurodesis and indwelling catheter technique was made, in cases where the patient's survival is longer than 6 weeks, the cost of tube thoracostomy method is shown to be lower (28). As with the use of targeted chemotherapy agents in the treatment of advanced cancer, because a longer survival duration is aimed, cost will become an important issue in these patients (29).

The indwelling pleural catheter used in the United States is PleurX (Denver Biomedical, Golden, CO) catheter. This catheter is 66 cm long and is a 15.5-F flexible silicone catheter (Figure 2). At the distal end of the catheter, multiple fenestrations are available. At the proximal end of the catheter, there is a one-way valve that prevents the involuntary drainage of the fluid. The polyester cuff 14 cm from the proximal end provides the adhesion of catheter under the skin. The catheter should be placed under sedation in sterile conditions. The catheter is placed with the Seldinger method after locating the pleural fluid with the help of needles. First, a guide wire is passed through the needle and is advanced into the rib cage, and an approximately 1 cm skin incision is made in this region. This subcutaneous tunnel is made by making a second incision approximately 5 cm from the first incision in the costal arch's medial. The catheter is passed through this tunnel, and the cuff is placed under the skin. A sheath dilator is passed over the wire guide, and the dilator and guidewire are withdrawn. The catheter is inserted into the thorax through the sheath, and the sheath is removed by tearing. The catheter is fixed to the skin. Skin incisions are closed. After placement, all effusions can be discharged. After the patient is discharged, the patient or the person who is responsible for catheter care periodically drains the pleural fluid by connecting the catheter to a disposable vacuum chamber (Figure 3).

# Pleuroperitoneal Shunt

If lung expansion is inadequate after the drainage of the effusion and if the lung does not completely fill the rib cage, a pleuroperitoneal shunt may be placed. This process is performed under local or general anesthesia. After a 3-cm transverse incision is made into the rectus sheath, the peritoneal cavity is examined. The pump chamber of the catheter is placed in a subcutaneous pocket on the costal arch. A subcutaneous tunnel is made toward the thorax and abdomen. The pleural and abdominal ends are respectively placed in the rib cage and abdomen, and the process is concluded. The patient or nurse sends the intrathoracic fluid into the abdomen by pressing the subcutaneous pump at regular intervals throughout the day. Shunt complications are shunt occlusion and infection. Approximately 10%

of patients experience shunt occlusions, and the shunts of these patients should be replaced. Planting peritoneal tumor with a pleuroperitoneal shunt is a potential risk (5, 30).

#### **Advanced Surgical Procedures-Cytoreductive Surgery**

In the presence of malignant pleural effusion, surgery should be a part of multimodality treatment if it is to be applied as a "curative purpose." Such surgical applications are defined as cytoreductive surgery. This is because even in a major aggressive surgery such as extrapleural pneumonectomy, to achieve "real" negative margins through resection of the pleura is virtually impossible. Even though no macroscopic tumors remain with these surgeries, there certainly will be tumors at the microscopic level. Without complementary modalities after the curative resection of malignant pleural effusion, a high rate of local recurrence is observed. The complementary modalities of surgery are radiotherapy, hyperthermic intraoperative chemotherapy, and photodynamic therapy. Because mortality and morbidity are very high in multimodal treatments, patient selection is very important (31, 32).

For cytoreductive surgical procedures (pleurectomy, decortication, and extrapleural pneumonectomy), after standard double lumen endotracheal intubation, the patient is placed in the lateral decubitus position. With a standard posterolateral thoracotomy incision, the skin, subcutaneous, latissimus dorsi muscles are incised. To provide an adequate point of view, serratus muscle may be performed with sparing thoracotomy. In some cases, in order to reach the costophrenic recess and to evaluate the diaphragm in a better way, resection of the sixth or seventh costas can be performed.

#### CONCLUSION

New developments are still needed in the treatment of malignant pleural effusion. Pleurodesis is effective in symptom control but is not the ideal technique. Pleurodesis application methods should be specifically selected for a patient. Although indwelling catheters are a good alternative to pleurodesis, patient care requirements and complication risks are the limiting factors. Cytoreductive surgery procedures such as pleurectomy do not prevent the development of recurrence alone.

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