A global perspective on mechanical prosthetic heart valve thrombosis: Diagnostic and therapeutic challenges

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Abstract
Prosthetic valve thrombosis is one of the major causes of primary valve failure, which can be life-threatening. Multimodality imaging is necessary for determination of leaflet immobilization, cause of underlying pathology (thrombus versus pannus or both), and whether thrombolytic therapy attempt in the patient would be successful or surgery is needed. Current guidelines for the management of prosthetic valve thrombosis lack definitive class I recommendations due to lack of randomized controlled trials, and usually leave the choice of treatment to the clinician’s experience. In this review, we aimed to summarize the pathogenesis, diagnosis, and management of mechanical prosthetic valve thrombosis. (Anatol J Cardiol 2016; 16: 980-9)

Keywords: prosthetic heart valve, thrombosis, diagnosis, management

Introduction
For six decades, heart valve surgery has been improving the survival and the quality of life of patients with severe valvular disease. However, it has also given rise to development of a new disease—the prosthetic heart valve disease. Although thrombus formation is less frequently observed among new-generation prosthetic valves, the hemodynamic and physical properties of mechanical valves remain thrombogenic (1). Therefore, prosthetic valve thrombosis (PVT) is one of the major causes of primary valve failure. The PVT incidence was reported to be 0.03% in bioprosthetic valves (2), 0.5%–8% in mechanical valves in the mitral and aortic positions, and as high as 20% in mechanical tricuspid valves (3). Recently, it has been reported that approximately 10% of the patients with mechanical heart valves had one episode of PVT per year (4).

PVT may lead to valve dysfunction, and its onset may be acute or gradual, according to the nature of thrombi and involvement of the hinges. The most common cause of PVT is inadequate anticoagulant therapy. Unfortunately, vitamin K antagonists (VKAs) are still the only approved oral anticoagulants in patients with heart valve prostheses. Even with the use of VKA, the risk of thromboembolism is 1%–2% per year, but the risk is considerably higher without or inadequate treatment with warfarin (3). There are different therapeutic modalities for PVT, including anticoagulation with heparin, thrombolytic therapy (TT) (4, 5–8), and surgery (9), which are largely influenced by the presence of valvular obstruction, valve location, and clinical features. In this review, we aimed to summarize the pathogenesis, diagnosis, and management of mechanical PVT.

Pathogenesis and clinical findings of PVT
PVT is an obstruction of a prosthesis by noninfective thrombotic material. The etiopathogenesis of PVT is based on several mechanisms. The first mechanism involves the molecular interaction between corpuscular blood components, plasma, and the prosthetic surfaces. The initial adsorption of plasma proteins on the prosthesis is generally followed by platelet adhesion (10). The second mechanism is dependent on the effect of the transprosthetic blood flow on local thrombus formation. The turbulent flow may result in a blood-borne increase in shear stress and may lead to thrombosis. Furthermore, chronic hemolysis
may occur as a result of the accelerated destruction of thrombocytes and erythrocytes with shortened intravascular lifespans (11). The third mechanism is ineffective anticoagulation, which is determined by reported valve thrombosis rates for that prosthesis in relation to specific international normalized ratio levels. The other prothrombotic causes are described in Table 1 (12–17). Inherited disorders such as MTHFR A 1298 C and fibrinogen 455G/A polymorphisms may be involved in the pathogenesis of PVT, necessitating further data from large-scale studies (15). Increased levels of specific antibodies, including anticardiolipin and anti–tissue plasminogen activator antibodies have recently been found to be associated with PVT (14, 16). Furthermore, heparin-induced thrombocytopenia may also lead to PVT (17).

PVT usually occurs over and under the hinge points of prosthesis and extends unidirectionally or bidirectionally over the annulus or toward the prosthetic orifice. The length and thickness of the thrombus are critical because these features may provide the basis for fresh thrombus attachment with an embolic risk (18).

The clinical presentation of PVT may be variable. Patients with PVT may present with symptoms such as dyspnea, decreased exercise capacity, palpitation, chest pain, vertigo, cerebrovascular accident, or even flank pain (19–22). Occluder clicks are typically muffled or absent during auscultation. Also, stenotic or regurgitant murmurs may be heard. Early detection and diagnosis may often be limited by a progressive or insidious course. The clinical status may depend on the type of the prosthesis. Patients with bileaflet prosthetic valves might be in a good hemodynamic condition due to a well-functioning single leaflet or might be unstable due to bileaflet involvement. Echocardiographic examination should be urgently performed in case of high level of clinical suspicion.

**Imaging modalities**

Transsthoracic echocardiography (TTE) is usually the first modality for detecting PVT. PVT, including large thrombotic masses, may be missed during initial TTE study, and Doppler echocardiography is generally used for evaluation of severity of obstruction (23). The principles of recording of flow velocity through prosthetic valves are similar to those used in assessing native valve stenosis (24). The use of pulsed-wave and continuous-wave Doppler as well as color Doppler are usually necessary during TTE evaluation. Doppler recordings should be performed at a sweep speed of 100 mm/s. Measurements should be taken over one to three cycles in sinus rhythm, and an average of five cycles is recommended in atrial fibrillation (23, 24). Heart rate is an important key point during TTE examination, and Doppler measurements should be performed during periods of physiologic heart rate (65–85 bpm).

For the aortic position, the Doppler measurements needed are peak velocity, mean gradient, velocity time integral, Doppler velocity index, and effective orifice area by the continuity equation.

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**Table 1. The etiopathogenesis of prosthetic heart valve thrombosis**

| I. Molecular interaction between corpuscular blood components and prosthetic surfaces | Initial adsorption of plasma proteins on the prosthesis and adhesion of the platelets (via fibrinogen, fibronectin, von Willebrand factor, vitronectin, thrombospondin, etc.) |
| II. The effect of the transprosthetic blood flow on local thrombus formation | Adenosine diphosphatase, platelet factor 4, beta-thromboglobulin and other proteins are released, which is associated with the increase in blood-borne shear stress |
| III. Ineffective anticoagulation | Subtherapeutic international normalized ratio levels |
| IV. Other prothrombotic factors | Incomplete endothelialization of the sewing ring (the early postoperative period) |
| | Atrial fibrillation |
| | Left atrial enlargement |
| | Multiple valve replacement |
| | Ventricular dysfunction |
| | Presence of pannus formation |
| | Sporadic use of drugs (e.g., contraceptives) |
| | Malignancy |
| | Systemic diseases (i.e., systemic lupus erythematosus) |
| | Pregnancy |
| | Potential inherited causes (i.e., methylenetetrahydrofolate reductase A 1298 C, fibrinogen 455G/A polymorphisms) |
| | Presence of specific antibodies (anticardiolipin, anti–tissue plasminogen activator antibodies, etc.) |
| | Heparin-induced thrombocytopenia |
equation, whereas the measurements needed in the mitral and tricuspid positions are peak velocity, mean pressure gradient, velocity time integral, and pressure half-time (23). Novel Doppler parameters, including ejection systolic parameters such as acceleration time (AT) and ejection time (ET), may also be very helpful during assessment of valve function and identification of prosthetic aortic valve stenosis (25). Increased transprosthetic gradients do not always indicate prosthetic dysfunction, and alternative causes, including pressure recovery in bileaflet mechanical valves, anemia, arteriovenous fistula, and tachycardia, should also be considered. It is important to compare with baseline studies. Figure 1 shows a TTE and Doppler study in a patient with suspected mitral PVT.

Two-dimensional (2-D) transesophageal echocardiography (TEE; 2-D TEE) is limited in evaluating structural abnormalities of prosthetic valves due to attenuation and acoustic shadowing; therefore, further TEE examination is usually required (5, 6). TEE can correctly identify opening and closing angles in most of the patients, regardless of the prosthetic type. Detailed image of the atrial side of the mitral valve prosthesis can be obtained because of the proximity of the esophagus to the heart and absence of interference with lungs and ribs (26). On the other hand, thrombus may not be clearly visualized by TEE in all aortic PVT cases. Acoustic shadowing from prosthetic material may often obscure the anterior part of the aortic prosthesis. This is also similar for the prosthesis on tricuspid position (24). TEE also has an indispensable value to assess thrombus size, mobility, and location, which may help in treatment decisions, such as thrombolysis, anticoagulation, and surgery (5, 6). Moreover, TEE provides direct imaging of the thrombus in the body or the appendage of the left atrium, which usually cannot to be detected with TTE. The presence of a left atrial thrombus is accepted as a contraindication for thrombolysis and should be ruled out by TEE before TT (5, 6).

A thrombus was defined as soft and homogeneous, with mobile or fixed echodensity, similar to myocardium, located at the valve occluder, hinges, and/or valve struts (5, 6). The thrombus burden usually contributes to the severity of transvalvular gradients. Larger thrombi are more likely to cause hemodynamic compromise and may result in thromboembolic complications. The thrombus size visualized by TEE is important in deciding on the optimal treatment strategy. PRO-TEE trial has reported that a thrombus area <0.8 cm² confers a lower risk for embolism or death associated with TT in left-sided obstructive PVT. Therefore, they showed that TEE could predict a low-risk group for TT (18). Figure 2a–c shows serial 2-D TEE images in a patient who received TT due to mitral PVT.

Real-time three-dimensional TEE (RT-3-D TEE) has been a milestone in the era of cardiovascular imaging of both native and prosthetic valves (19, 27). It is an excellent tool to obtain spatial information from cardiac structures and visualize cardiac pathologies in real-time. It has also the capacity to section the echogenic mass and visualize it from multiple angles (28).

RT-3-D TEE has especially provided a great insight into the evaluation of PVT. An en face view of the mitral valve from the left atrium can be carefully reconstructed for each patient when the mitral valve is closed because this method provides the best contrast to detect thrombus. Specific echocardiographic acquisition settings, including “gain,” “dynamic range,” “brightness,” and “smoothing” may be adjusted for better depiction of PVT (23). Furthermore, Vision H and Chromo map settings may be used for high-resolution color images. Linear, purple- or violet-colored echodensity on a bright cream-colored base of the endothelialized sewing ring surrounding the prosthetic valve suture line or medial to it suggests thrombus (19, 23). RT-3-D TEE provides a more comprehensive delineation of PVT compared to conventional 2-D TEE, which may underestimate or even miss thrombi, particularly when it is ring-located and nonobstructive “Doppler silent.” It may inform the clinician about the total thrombus burden in detail helping to organize a more strict anticoagulation therapy (27). Therefore, patients may benefit from

Figure 1. Two-dimensional transthoracic echocardiographic imaging of mitral prosthetic valve thrombosis (arrow) in four-chamber view (a) and increased transvalvular gradients and reduced mitral valve area, as demonstrated by Doppler imaging (b).

LA - left atrium; LV - left ventricle; RA - right atrium; RV - right ventricle
accurate diagnosis and correct course of therapy with the utility of RT-3-D TEE (Fig. 2a–c). This also avoids unnecessary further diagnostic workup. It must be acknowledged that RT-3-D TEE is a complementary diagnostic tool; its accuracy depends on the quality of the original 2-D images. RT 3-D TEE is time-consuming and requires added training. It has also several limitations such as reduced temporal resolution, poor visualization of anterior structures of the heart such as aortic and tricuspid valves, and poor image quality due to poor electrocardiography gating in patients with arrhythmias (23). Furthermore, it has the problem of acoustic shadowing like 2-D imaging; for instance, it may be difficult to visualize the pathologies (thrombus, pannus, etc.) located on the ventricular side of the mitral prosthesis (29).

Cinefluoroscopy (CF) is a low-cost, noninvasive imaging technique, which is readily available in most centers and can be performed rapidly, particularly in unstable patients, for detecting stuck valves (30, 31). In the case of bileaflet valves, the disks can be directly visualized, and opening and closing angles measured using a tangential view (31). Although the role of CF has declined since the introduction of TEE, it still serves as a complementary method to echocardiography in evaluation of prosthetic valve obstruction (30). It may be particularly utilized as an easily repeatable modality to follow stable patients for evaluation of valve motions during TT. CF has also limitations; it is not useful in distinguishing pannus from thrombus since neither pannus nor thrombus can be identified fluoroscopically. Therefore, TEE should be performed to confirm the findings obtained by CF.

Multidetector cardiac computed tomography (MDCT) is a promising technique for functional evaluation of bileaflet mechanical valves. Opening and closing leaflet angles can be accurately assessed. Currently, TEE is still the most reliable method in the diagnosis of PVT, but MDCT can be used as a complementary diagnostic method for a definitive diagnosis in case of clinical suspicion. It may be helpful especially in patients with double left-sided mechanical valves because acoustic shadowing can occur even during TEE study and make the interpretation difficult. It has also been proven to be a useful method for the differential diagnosis of masses amenable to TT in patients with prosthetic valve dysfunction (32) (see next section) (Fig. 3).
Differential diagnosis of PVT

The distinction between PVT and other prosthesis-related pathologies, such as pannus, vegetation, and prosthesis–patient mismatch (PPM), is important to choose the optimal treatment (23, 25, 33). Differential diagnosis based on clinical presentation may be challenging, and multimodality imaging, including echocardiography, CF, and MDCT is usually required. The masses related to prosthetic heart valves (thrombus, pannus, and vegetation) are compared in Table 2.

Pannus is an overgrowth of fibrous tissue. It is relatively more common in the aortic position. Since surgery can be avoided for some patients with mechanical valve obstruction secondary to thrombosis, but not pannus, the distinction between these two etiologies needs specific concern (23, 32). Certain findings, such as the echocardiographic homogeneity of the mass, reduced transmittal gradients, and evolution of thrombus morphology with therapeutic anticoagulation, integrated with clinical (presence of inadequate anticoagulation or evidence of thromboembolism) observations, help distinguish thrombosis from pannus overgrowth (19, 23). Previously introduced RT-3-D TEE provides visualization of the atrial and ventricular sides of the prosthesis, improves understanding of the relation between cardiac structures, and helps discriminating pannus from thrombus (34). Furthermore, the role of 64-slice MDCT in the differential diagnosis of thrombus versus pannus has been recently investigated by Gündüz et al. (32). They showed that high-attenuation (HU ≥145) periprosthetic masses are resistant to TT and predict pannus whereas the low-attenuation (HU <90) periprosthetic masses are susceptible to TT and predict thrombus (Table 2). Briefly, differentiation of these two etiologies is now easier with advanced multimodality imaging. Figure 4 shows echocardiographic and postoperative view of pannus overgrowth on mitral prosthesis.

Vegetation is another entity that should be considered in differential diagnosis of PVT (Table 2). They cannot be distinguished by echocardiography alone; depiction of these sessile or pedunculated masses with the presence of full clinical picture may lead to right diagnosis (23). Vegetation is more likely in febrile patients and in the presence of clinical signs of infective endocarditis, perivalvular destruction, leak, or abscess formation. Recently, positron emission tomography–computed tomography has proven its additive role in the diagnosis of infective endocarditis in patients with negative/inconclusive echocardiography (35).

PPM is an important cause of elevated velocity and gradients across normally functioning prosthetic valves. It is present when the effective orifice area (EOA) of the inserted prosthetic valve is too small in relation to body size. It is common (20%–70% of aortic valve replacements) and has been shown to be associated with worse hemodynamic function (33). The indexed EOA ≤0.65 and ≤0.90 favors PPM in aortic and mitral prosthesis, respectively (for those with body mass index <30 kg/m²). Although EOA deter-

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2-D/3-D-dimensional; FDG-PET - 18-fluorine-fluorodesoxyglucose positron emission tomography; IE - infective endocarditis; LA - left atrium; LAA - left atrial appendage; MDCT - multidetector-row computed tomography
mination is crucial in evaluating PPM, systolic time interval parameters, AT and AT/ET could be also very helpful, especially in differentiation of PPM from prosthetic aortic valve stenosis (25).

Management of PVT

Treatment modalities for PVT include anticoagulation with heparin, TT, surgery, or even in some cases only watchful waiting (23).

Anticoagulation

Conclusive data is lacking regarding the effectiveness of anticoagulation in resolution of PVT. It has been previously shown that the prognosis is favorable with medical therapy by optimization of anticoagulant treatment (short-term intravenous unfractionated heparin followed by warfarin adjustment and aspirin addition) for small asymptomatic thrombi (length <10 mm) (36). Lengyel et al. (37) demonstrated a low rate of success with heparin treatment in cases with nonobstructive PVT, but a more recent study authored by Laurent et al. (38) has reported the effectiveness of prolonged heparin with oral anticoagulation in preventing embolic events in patients with early nonobstructive PVT of size <5 mm after mechanical prosthetic mitral valve replacement. Our preliminary experience shows that unfractionated heparin could be successful in 73% of the nonobstructive PVT patients who have contraindications to TT (39). In current literature, the use of low-molecular-weight heparin in left-sided NOPVT is not clear yet.

TT versus surgery

Until the 1990s, the treatment of choice for mechanical valve obstruction was surgery, but over the last decade, TT has been used increasingly (40, 41). Unfortunately, randomized controlled trials to address the initial treatment strategy are lacking. The most recent European (42) and American guidelines (43) recommend surgery for patients with NYHA functional classes III and IV unless surgery is high risk (Class IIa). Thrombolysis is given a IIa indication in patients with right-sided valve thrombosis and a Class IIb indication in patients with a left-sided but small thrombus. The European Society of Cardiology guidelines (42) also recommend surgery for critically ill patients and restrict thrombolysis to patients with high surgical risk and/or right-sided valve thrombosis. On the other hand, TT is recommended as the first-line treatment for all patients with left-sided PVT by the Society for Heart Valve Disease guidelines and for patients with low thrombus burden (<0.8 cm²) (40). The diagnostic and management strategies of PVT are summarized in Figure 5.

Recently, several meta-analyses and systematic reviews have been published. Karthikeyan et al. (44) evaluated seven studies with 690 episodes of PVT (446 treated with surgery and 244 with TT) and found no significant differences in the main outcome (restoration of valve functions) or death between patients treated surgically and with TT. They stated that urgent surgery should probably be preferred over TT in experienced centers.
Reduced valve mobility  
Presence of thrombus  
Abnormal transprosthetic flow  
Elevated transprosthetic gradients

**Figure 5.** Diagnostic and therapeutic algorithm for prosthetic valve thrombosis.

| CF - cinefluoroscopy; MDCT - multidetector computed tomography; NOPVT - nonobstructive prosthetic valve thrombosis; OPVT - obstructive prosthetic valve thrombosis; TEE - transesophageal echocardiography; TTE - transthoracic echocardiography |
|---|---|---|---|
| FCT - evaluation of leaflet restriction; MDCT: differential diagnosis of thrombus versus pannus |
| Suspicion of thrombus |
| TTE/TEE |
| Reduced valve mobility  
Presence of thrombus  
Abnormal transprosthetic flow  
Elevated transprosthetic gradients |
| Confirmed thrombus |
| Left side  
NOPVT (THROMBOEMBOLISM, TR DIAMETER ≤10 mm)  
Thrombolysis  
Yes  
No |
| Thrombolysis Intensified |
| Right side  
Thrombolysis  
Fail |
| Surgery |
| Thrombolysis |
| Fail |
| Surgery |
| Anticoagulation  
Sleep |
| Therapy  
Anticoagulation |
| BLUE |
| SPH ...

On the basis of previous data, surgical mortality may reach 69%, depending on NYHA class and need for emergency surgery (6, 9, 44-46), whereas the reported death rate is 8%–13.5% in patients undergoing TT for PVT (44-46).

Recently, Castilho et al. (9) evaluated 26 studies reporting 1107 episodes of PVT treated by TT and 27 studies reporting 1132 surgeries for PVT. They reported much higher mortality rates with surgery compared with TT in the management of PVT (18.1% versus 6.6%). Nevertheless, it could be misleading to compare TT and surgery with respect to mortality rates without a head-to-head randomized trial.

Recent TT studies for PVT have shown much promise, with the results suggesting that such treatment modality might be the initial choice in these patients (6-8, 40, 47). There is no consensus regarding the optimal treatment strategy, neither the type, nor the dose or route of administration of thrombolytic agents. Due to its high fibrin specificity, recombinant tissue-type plasminogen activator (tPA) is widely used in the management of PVT (6-8).

On the other hand, because of the relatively higher cost of tPA treatment, streptokinase is still used for TT in the developing countries. Although accelerated protocols seem attractive as they may induce more rapid lysis of the thrombus, they increase the risk of serious thromboembolism and bleeding events (4, 45).

The TROIA (Comparison of Different TRansesophageal Echocardiography Guided thrombolytic Regimens for prosthetic valve Thrombosis) study (6), which includes the largest cohort published to date (182 consecutive patients with PVT in 220 different episodes), evaluated a strategy of TEE-guided fibrinolysis with rapid infusion of streptokinase (Group I) versus slow infusion of streptokinase (Group II) versus full-dose t-PA (100 mg) (Group III) versus half dose (50 mg) slow infusion of t-PA (Group IV) versus low-dose (25 mg) slow infusion of t-PA (Group V). This was a monocentric, prospective, nonrandomized study. The authors reported successful thrombolysis in 83.2% of cases without a significant difference between thrombolytic protocols (68.8%, 85.4%, 75.0%, 81.5%, and 85.5%, respectively; p = 0.46).

Assessment of complication rates by groups showed a statistically lower combined complication rate in low-dose slow infusion group. Therefore, the authors suggested that lower dose, TEE-guided, repeated, slow administration of a fibrinolytic agent could be equally efficacious with fewer complications. Although no mortality was reported in this regimen, nonfatal major complications were similar between the regimens. Therefore, in order to reduce nonfatal major complications, safety of ultra-slow TT regimen was investigated in 114 PVT patients—the PROMETEE trial (8). This study showed that, ultra-slow (25 hours) infusion of low-dose (25 mg) t-PA without bolus was associated with quite low nonfatal complications and mortality for PVT patients except for those with NYHA class-IV, without compromising effectiveness.

The main limitation associated with TT of left-sided PVT includes cerebral thromboembolism (48) and bleeding. In TROIA study (6), the rate of intracranial bleeding was 0.8% in PVT patients undergoing low-dose slow infusion t-PA strategy. In the PROMETEE study (8), none of the patients suffered intracranial hemorrhage, and the noncerebral major bleeding rate was also quite low (1.7%). These two recent studies have shown that low-dose slow infusion of tPA can be an effective and safe therapy in the management of PVT.

While tPA is widely used as a thrombolytic agent, infusion of rt-PA may trigger the production of anti-tissue plasminogen activator (tPA) antibodies (ATA), which may interfere with the success of TT, necessitating a higher dose of rt-PA for complete success. It has also been shown that some patients with PVT have increased baseline ATA levels, which is also associated with higher risk for rethrombosis (16).

### Specific population

**Pregnant women**

PVT in pregnancy is a double jeopardizing event for both mother and fetus. The main treatment strategies include anticoagulation with heparin, TT, and redo surgery, each having its own pros and cons. Although no evidence-based guidelines for pregnant patients complicated with PVT are currently available, recommendations of guidelines for this compli-
Thrombosis of prosthetic heart valves

Conclusion

Despite technological advancements, the hemodynamic and physical properties of mechanical valves remain thrombogenic, and patients with prosthetic heart valves, therefore, are prone to developing PVT. Unfortunately, VKAs are still the only approved oral anticoagulants in patients with heart valve prostheses and today clinicians, worldwide, are expecting for an antithrombotic agent that is at least as effective but safer and more convenient in daily clinical practice. The diagnosis of PVT and other prosthetic valve dysfunctions is now easier with the use of multimodality imaging, including RT-3-D TEE and MDCT. There is still a debate about optimal treatment strategy for PVT. Guidelines lack definitive NYHA class I recommendations, have significant disparities, and—in most cases—leave the decision to the clinician’s experience. The favorable clinical outcomes of TT comparing with the surgical approach have made TT the first-line treatment in many of the developing countries. Surgical treatment could be left for patients in which TT is contraindicated, or in those where it has already failed. Currently, the superiority of one over other remains speculative due to absence of a head-to-head randomized controlled trial between TT and surgery. However, the recently initiated randomized and multicenter study (NCT02243839), which compares TT (with tPA) versus surgery for the management of patients with PVT, will provide essential data.

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